



Rajiv Gandhi Cancer Institute and Research Centre

A Unit of Indraprastha Cancer Society

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Sector-5, Rohini, Delhi-110 085, INDIA

Architect's Impression of RGCI & RC (post expansion)



Rajiv Gandhi Cancer Institute
and Research Centre

SURGERY AFTER NACT IN LOCALLY ADVANCED LUNG CANCER

Dr LM.Darlong
Sr Consultant Thoracic Surgery
Head Thoracic Oncosurgery
Rajiv Gandhi cancer institute Delhi



**Rajiv Gandhi Cancer Institute
and Research Centre**

NACT [CHEMOTHERAPY]

- CHEMOTHERAPY BEFORE MAIN TREATMENT
 - SURGERY
- GOAL
 - R0 - SURGERY



INDICATIONS

- RESECTABLE N2 NODAL DISEASE
- **POTENTIALLY** RESECTABLE CENTRAL TUMOR
 - CLOSE TO VESSELS
- DOES NOT MAKE
 - **UNRESECTABLE —- RESECTABLE**



NOT ESTABLISHED

- RESECTABLE LESION INVOLVING
 - CHEST WALL / RIBS
 - DIAPHRAGM
 - PERICARDIUM



LOCALLY ADVANCED

- **BULKY TUMOR [T SIZE]**
- **INVOLVING ADJACENT STRUCTURE**
- **METASTASIS TO REGIONAL NODES [N1/N2]**



LAST 30 YEARS - PREIMMUNO ERA

- ADJUVANT / NACT FOR RESECTED NSCLC
 - OS BY 4 TO 5 % AT 5 YEARS
- META-ANALYSIS OF CISPLATIN BASED INDUCTION
 - RISK OF DEATH 13% [REDUCTION]
 - ABSOLUTE 5YR SURVIVAL 5% [INCREASED]



- **OUTSIDE CLINICAL TRIAL - NACT [IIIA N2 DIS]**
 - **34% 3YR SURVIVAL**
 - **HIGH VOLUME CENTRE**
 - **40% 5 YRS SURVIVAL [SUBOPTIMAL]**
- **PACIFIC TRAIL -**
 - **UNRESECTABLE STAGE III DIS**
 - **42 % 5YRS OS [ADDITION OF IO]**



PREDICTORS IN PRE -IMMUNOTHERAPY ERA

- CLASSIC PREDICTORS OF LONG TERM SURVIVAL
 - R0 RESECTION
 - DOWNSTAGING
 - pCR [PATH COMPLETE RESPONSE]
- MPR [MAJOR PATH RESPONSE]
 - 10 % OR LESS RESIDUAL TUMOR
 - SURROGATE FOR OS
 - UNPROVEN IN RCT



PCR - PREIMMUNOTHERAPY

TABLE 2. Pathologic Complete Response After Neoadjuvant Chemotherapy in Stage IIIA (N2) During Preimmunotherapy Era

Study	No. of Patients	Treatment	Resection Rate	pCR	3-Year OS
Martini et al ³⁸	41	MVd/VP	68%	20%	34%
Betticher et al ³⁹	90	Docetaxel + cisplatin	87%	12%	36%, median EFS: 11.7 mo
Garrido et al ⁴⁰	129	Docetaxel + cisplatin	70%	8.9%	36.8%, median EFS: 9.9 mo
Burkes et al ⁴¹	35	MVP	56%	4.6%	26%, median EFS: 19 mo
Chaft et al ³⁷	50	Bevacizumab + docetaxel + cisplatin	88%	MPR: 27%	52%, TTP: 20 mo



IMMUNOTHERAPY ERA

- NEOADJUVANT [ICI]
 - MONOTHERAPY
 - ICI WITH CHEMOTHERAPY
 - DUAL ICI
 - ANTI PDL -1 WITH CTLA-4
- NUMEROUS TRAILS
 - PHASE II / PHASE III



PHASE II NEO ADJ IMMUNO

TABLE 4. Neoadjuvant Immunotherapy Phase II Trials

Study	Registry No.	Stage	No. of Patients	Resected Patients	Experimental Arm	Control Arm	Primary Endpoint	MPR	pCR	1-Year OS
SKCCC-JHU Forde et al ⁵⁸	NCT02259621	IB, II, IIIA	22	20	Nivo, 2 cycles Q2W	None	Safety	9 (45%)	3 (13%)	—
LCMC3 Kwiatkowski et al ¹⁰³	NCT02927301	IB-IIIIB (T3N2)	181	159	Atezolizumab, 2 cycles Q3W	None	MPR	30 (21%)	10 (7%)	
NEOSTAR Cascone et al ⁵⁹	NCT03158129	I-IIIA (single N2)	88: Nivo: 23 Nivo + Ipi: 21	39	Nivo, 3 cycles Q2W or Nivo 3 + Ipi 1	None	MPR	11: Nivo: 5 (22%) Nivo+ Ipi: 8 (38%)	8: 2 (9%) 6 (29%)	
IONESCO Wislez et al ¹⁰⁴	NCT03030131	IB, II, IIIA (non-N2)	50/81	46	Durva 3 cycles Q2W	None	Surgical resection RO	8 (18.6%)	3 (7%)	89.1%
COLUMBIA UNIVERSITY Shu et al ⁶⁰	NCT02716038	IB-IIIA	30	29	Atezolizumab + cCT, 4 cycles – SoC (adj)	None	MPR	17 (57%)	10 (33%)	—
NADIM Provencio et al ⁵⁰	NCT03081689	IIIA (N2)	46	41	Nivo + cCT, 3 cycles Q3W – Nivo (adj) 1 y	None	24 mo PFS	34 (83%)	26 (63%)	97.8%
SAKK 16/14 Rothschild et al ¹⁰¹	NCT02572843	IIIA (N2)	67	55	Durva + cCT – Durva (adj)	None	EFS at 1 y	33 (60%)	10 (18%)	—
NADIM II Provencio et al ¹⁰⁵	NCT03838159	IIIA-IIIB	90	NA	Nivo + cCT - Nivo (adj) 6 mo	CT	pCR	NA	NA	—



PHASE III NEO ADJ IMMUNO

TABLE 5. Neoadjuvant immunotherapy Phase III Trials

Study	Registry No.	Stage	No. of Patients	Experimental Arm	Control Arm	Primary Endpoint
KEYNOTE-671	NCT03425643	II, IIIA, IIIB	786	Pembrolizumab + cCT, 4 cycles – pembrolizumab (adj), 13 cycles	Placebo + cCT - Placebo	EFS, OS
CheckMate-816¹⁰⁶	NCT02998528	IB-IIIA	358	Nivolumab + cCT, 3 cycles or nivolumab + ipilimumab	CT	EFS, pCR
IMpower030	NCT03456063	II-IIIA-IIIB (T3N2)	450	Atezolizumab + cCT, 4 cycles – atezolizumab (adj), 16 cycles	Placebo + cCT - SoC	MPR, EFS
CheckMate-77T	NCT04025879	II-IIIB	452	Nivolumab + cCT, 4 cycles – nivolumab (adj), 1 year	Placebo + cCT - Placebo	EFS
AEGEAN	NCT03800134	II-IIIB	800	Durvalumab + cCT, 4 cycles – durvalumab (adj), 12 cycles	Placebo + cCT - Placebo	MPR, EFS



- **CURRENTLY NEOADJ-IO TRIALS**
 - **RESECTABLE NSCLC**
- **PHASE II - NADIM**
- **PHASE III - CHECKMATE 816**



PHASE II NADIM

- SINGLE ARM / OPEN LABEL / MULTICENTER
- NEODJ- IO + CHEMO X3 - SX -ADJ IO X 1YR
- RESECTABLE STAGE IIIA-B MULTISTATION [46]
- PR ENDPOINT - PFS AT 24 MONTHS
 - 66 % VS 42 %
- SEC ENDPOINT - OS AT 3YRS
 - 84 % VS 63 %



PHASE III CHECKMATE 816

- 1ST PHASE III - RESECTABLE NSCLC
 - BENEFITS OF NEOADJ -IO+CHEMO
 - STANDARD CHEMO
- IB-IIIA / 358 PT /
- SURGERY [POST RANDOMIZATION]
 - 83.2% [CHEMO + IO] VS 75.4% [CHEMO]
- R0 RESECTION - 83% VS 78%



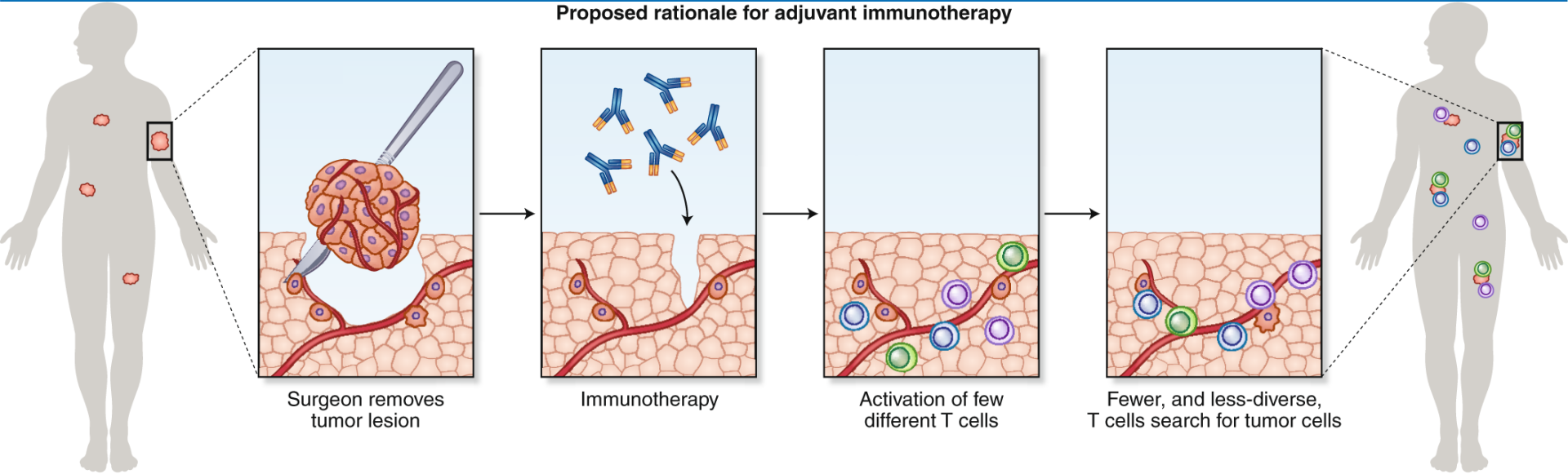
PHASE III CHECKMATE 816

- PRIMARY ENDPOINT - EFS / pCR
 - pCR- 24 % VS 2.2%
 - EFS - 31.6 MONTHS VS 20.8%

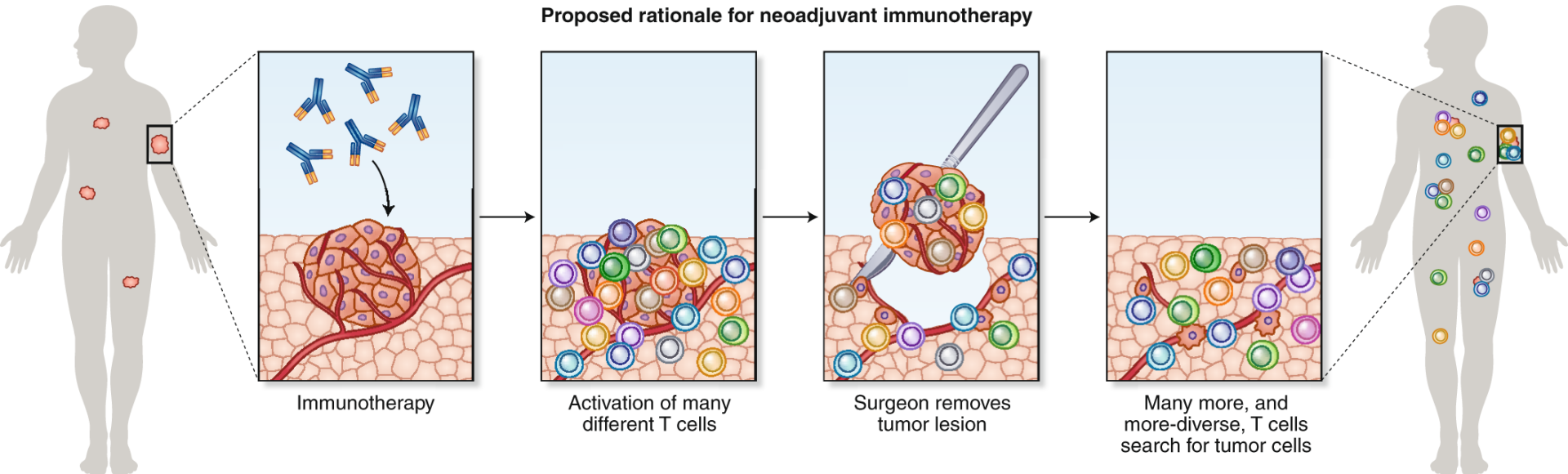


NEOADJUVANT V/S ADJUVANT - IO

Proposed rationale for adjuvant immunotherapy



Proposed rationale for neoadjuvant immunotherapy



NEOADJV CHEMO + IO

- NEOADJ CHEMO [TRANSLATIONAL STUDY]
 - INCREASE IN PDL-1 + TR CELLS
 - IMMUNE INFILTRATES
 - SYNERGY WITH IO



RATIONALE FOR NEOADJ - IMMUNOTHERAPY ERA

- BIOLOGIC EFFICACY
 - NADIM - pCR 63 %
 - CHECKMATE 816 - pCR 24 % V/S 2.2 %
- COMPLIANCE
 - IM POWER 010 [ADJ-IO] - 65 %
 - CHECKMATE 816 [N-ADJ IO+CT]-94 %
 - NADIM [N-ADJ IO+CT] - 100 %



- **DOWNSTAGING**
- **INCREASED R0 SX**
- **CHECKMATE 816**
 - **EFFECTIVE/SAFE /FEASIBLE/ACCEPTABLE SX
DELAYS/AE**



WHEN SURGERY AFTER N- ADJUVANT

- IIIA - > 6 WEEK POST NACT POOR SURVIVAL
- NADJ- IO + CT = 3 -6 WEEKS PERIOD
- DELAY POTENTIAL FOR
 - TUMOR REPOPULATION
 - DISTANT METASTASIS
 - ANATOMY CHANGES LOCAL SITE
 - FIBROSIS / THICKENING OF TISSUE PLANE



IMMUNE FLARE

- **NODAL IMMUNE FLARE [NEOSTAR]**
 - **RADIOGRAPHIC NODAL PROGRESSION**
 - **PATHOLOGY - GRANULOMAS / SARCOID LIKE / IMMUNE MEDIATED**
 - **PSEUDO- PROGRESSION**
 - **NOT DEEMED UNRESECTABLE - PATH PROVEN**



SURGICAL IMPLICATIONS

- **REAL TIME**
 - **SURGERY MORE CHALLENGING**
 - **3 - 6 WEEKS INTERVAL**



CONCLUSION

- ADVANCES IN CHEMO-IO
 - SURGERY GREATER ROLE IN ADVANCED DIS
 - CURATIVE INTENT IN MIND
- BIOMARKER TESTING - ESSENTIAL FOR SX
 - EGFR [ADAURA / NEO - ADAURA]
- STAGE IB-IIIA [-VE EGFR / ALK]
 - N-ADJ+IO WILL BE PREFERRED OPTION



Thank you



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